ACH Setup Form

- Email the completed form and a copy of your current bank statement to your broker.
- After your broker submits the completed form, Phillip Capital will place (3) small ACH transactions to and from your account in the next few days that sum to zero. Phillip Capital requires you to confirm these amounts to your broker by email before using ACH. After verifying the transactions, Phillip Capital will notify your broker to confirm the setup is complete.
- Email your broker with any questions and to initiate ACH transactions after set up.
- ACH deposits will be credited to your trading account 5 business days after receipt.
- ACH withdrawals will generally be in your bank account 1-3 days after the transaction is initiated.
- ACH is currently only available for US customers and bank accounts.

Phillip Capital Account Information (Trading Account)

Customer Name: _____

Account Number: ☐ ☐ ☐ ☐ ☐ ☐ ☐

Bank Account Information (Depository Account)

Bank Name: _____

City:_____ State: _____ Zip: _____

Bank Account Title: _____

Account type (select one):   ☐ **Checking**   ☐ **Savings**

Account Number: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

Routing Number: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

Routing Numbers are generally on the lower left corner of personal checks.

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By signing below, I (we) hereby authorize Phillip Capital Inc. ("PCI") to initiate ACH transactions to and from the above noted Trading Account and the above noted Depository Account. I (we) acknowledge that the origination of ACH transactions to my (our) accounts must comply with the provisions of U.S. law. This authorization is to remain in full force and effect until PCI has received written notification from me (or either one of us) of its termination in such time and in such manner as to afford PCI and my (our) Bank reasonable opportunity to act on it.

Printed Name(s): _____ / _____

Account Holder Signature(s): _____ / _____

Date: ___ / ___ / _____

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